

Committee: Performance Select Committee
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Title: Internal Audit – Insurance
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Agenda Item

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Item for
decision

Summary

A recent internal audit report of Insurance is attached together with a completed management action plan. This item is included in the agenda in response to Members request that internal audit reports are discussed at the Performance Select Committee.

Recommendations

That the Insurance internal audit report and management action plan be noted.

Background Papers

The Internal Audit Plan for 2006/07.

Impact

Communication/Consultation	The report has previously been circulated amongst relevant officers
Community Safety	There may be community safety implications if drivers are not insured, do not have a valid licence or up to date MOT for vehicles used on Council business
Equalities	None identified
Finance	None identified
Human Rights	None identified
Legal implications	There may be legal implications if drivers are not insured, do not have a valid licence or up to date MOT for vehicles used on Council business
Sustainability	None identified

Ward-specific impacts	None identified
Workforce/Workplace	There may be HR implications if drivers are not insured, do not have a valid licence or up to date MOT for vehicles used on Council business

Situation

An internal audit of Insurance has been carried out as part of the 2006/07 internal audit plan. The report contains recommendations to improve internal control and manage risk. The report was issued to relevant managers in accordance with an agreed protocol. A management action plan was included with the attached internal audit report and has been completed.

Risk Analysis

Risk	Likelihood	Impact	Mitigating actions
The issues highlighted in the report are not acted upon	<p>3 = Significant Likelihood</p> <p>This report contained a re-iteration of a recommendation made during the previous audit as this had not been implemented.</p> <p>Given the current staffing situation in Finance, implementation of all of the agreed recommendations is unlikely to occur in accordance with the timetable agreed.</p>	<p>2 = Some impact – action may be necessary</p> <p>There would be varying levels of impact from any non-implementation of the recommendations given the medium / low significance of the control risks identified.</p>	<p>Internal audit reports are followed up to ensure compliance.</p> <p>There are escalation procedures in the event of non compliance.</p>